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UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/078,285	02/15/2002	Soon-Ho Ahn	MUTU9.001DV1

CONFIRMATION NO. 4207

20995  
KNOBBE MARTENS OLSON & BEAR LLP  
620 NEWPORT CENTER DRIVE  
SIXTEENTH FLOOR  
NEWPORT BEACH, CA 92660

FORMALITIES LETTER



\*OC000000007653893\*

Date Mailed: 03/15/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter
- **The balance due by applicant is \$ 870.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
  - Line spacing on the specification, claims, or abstract is not 1-1/2 or double spaced (See 37 CFR 1.52(b)).

A copy of this notice **MUST** be returned with the reply.

05/16/2002 RMC/BAH/HT 00000102 10078285  
740.00 OF  
130.00 OF  
01 FD:101  
02 FD:105

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

CONFIDENTIAL



COPY OF PAPERS  
ORIGINALLY FILED

Case Docket No. MUTU9.001DV1  
Date: May 8, 2002

PATENT

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Ahn, et al.  
App. No. : 10/078,285  
Filed : February 15, 2002  
For : LITHIUM ION SECONDARY  
BATTERY AND  
MANUFACTURING  
METHOD OF THE SAME  
Group Art Unit : 1745

) I hereby certify that this correspondence and all marked  
) attachments are being deposited with the United States  
) Postal Service as first class mail in an envelope addressed  
) to: United States Patent and Trademark Office, P.O. Box  
) 2327, Arlington, VA 22202, on

May 8, 2002

(Date)

Rose M. Thiessen, Reg. No. 40,202

TRANSMITTAL LETTER

United States Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

ATTENTION: BOX MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f), which was mailed by the Office on March 15, 2002, enclosed are:

- (X) A Notice to File Missing Parts.
- (X) A substitute specification in compliance with 37 CFR 1.52.
- (X) Return prepaid postcard.
- (X) Fees as calculated below:

FILING FEE

\$ 740

**PATENT**

Case Docket No. MUTU9.001DV1

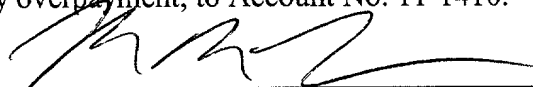
Date: May 8, 2002

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SURCHARGE 37 CFR 1.16(e)	\$ + 130
TOTAL OF ABOVE CALCULATIONS	\$ 870
TOTAL FEES SUBMITTED HEREWITH	\$ 870

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- (X) A check in the amount of \$870 to cover the above fees is enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410.



Rose M. Thiessen  
Registration No. 40,202  
Attorney of Record

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